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14078 B  
CEB:lcr

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Chi-Meng LIAO and Chin-Pei WANG  
Serial No. : 10/724,935  
Filed : December 1, 2003  
Art Unit : Unknown  
For : ROLLING ELEMENT RETAINER

Transmitted herewith are the following:

- ☒ REQUEST FOR REFUND w/CERTIFICATE OF MAILING;  
☒ Copy of date stamped acknowledgment; and  
☒ ACKNOWLEDGMENT POSTCARD.

☒ The Commissioner is authorized to charge any additional fees required, or to credit any overpayment to Account No. 02-1435. A duplicate copy of this sheet is enclosed.

— A check in the amount of \$ \_\_\_\_\_ is enclosed.

☒ I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: ATTENTION: MAIL STOP - NO FEE SUBMISSION, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313 - 1450 on February 11, 2004.

Respectfully,

*Charles E. Baxley*

CHARLES E. BAXLEY  
Attorney of Record  
USPTO REG. 20, 149

Dated: February 11, 2004

CHARLES E. BAXLEY, ESQUIRE  
Hart, Baxley, Daniels & Holton  
90 John Street, Third Floor  
New York, New York 10038  
Telephone (212) 791-7200  
Facsimile (212) 791-7276  
E-mail [ceb@hartbaxley.com](mailto:ceb@hartbaxley.com)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

-----X  
Applicant(s) : Chi-Meng LIAO and Chin-Pei WANG :  
Serial No. : 10/724,935 :  
Filed : December 1, 2003 :  
For : ROLLING ELEMENT RETAINER :  
Art Unit : Unknown :  
-----X

ATTENTION: MAIL STOP - NO FEE SUBMISSION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313 - 1450

REQUEST FOR REFUND

Dear Sir:

A refund in the amount of One Hundred Forty-Five Dollars (\$145.00) is hereby respectfully requested because of a mistaken assessment thereof by the Patent and Trademark Office.

MAILING CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as First-class mail in an envelope addressed to: ATTENTION: MAIL STOP - NO FEE SUBMISSION, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313 - 1450 on the date indicated below:

Charles E. Baxley  
Attorney's Name

  
Signature

February 11, 2004  
Date

Applicant received a Monthly Statement Of Deposit Account dated December 31, 2003 indicating a One Hundred Forty-Five Dollar (\$145.00) charge for Fee Code 1203 (multiple dependent claim fee) for the above referenced Patent Application.

The Application in question had been filed on December 1, 2003 containing all items listed on an acknowledgment postcard date stamped by USPTO. A copy of the date stamped acknowledgment postcard is attached hereto.

A check in the amount of Nine Hundred Fifty Five Dollars (\$955.00) accompanied the Application (\$770.00 Large Entity Utility Filing Fee and \$145.00 Multiple Dependent Claim Fee).

Because the Multiple Dependent Claim Fee was originally forwarded to USPTO as part of the payment for the filing of the Patent Application an additional charge for a Multiple Dependent Claim Fee should not be assessed. In view of the above, Applicant's Attorney requests respectfully that the One Hundred Forty Five Dollars (\$145.00) deducted from Deposit Account 02-1435 be returned.

Courtesy, cooperation and skill of PTO are acknowledged.

Respectfully,

By: Charles E. Baxley  
CHARLES E. BAXLEY  
Attorney of Record  
USPTO Reg. 20,149  
90 John Street, Third Floor  
New York, New York 10038  
Telephone (212) 791-7200  
Facsimile (212) 791-7276  
E-mail ceb@hartbaxley.com

CEB:lcr/14078 B

Enclosures: Copy of date stamped acknowledgment postcard.

The official stamp of the U.S. Patent & Trademark  
Office acknowledges receipt of the following:

1. New Patent Application w/Declarations/Powers;
2. Check in the amount of \$955.00;
3. Utility Patent Application Transmittal;
4. Fee Transmittal Sheet (in duplicate);
5. Assignment w/Recordal Cover Sheet;
6. Twelve (12) sheets of Specification;
7. Twelve (12) sheets of Drawings (Figs. 1-12);
8. Eight (8) claims; one (1) independent claims &  
two (2) multiple dependent claims; and
9. Acknowledgment Postcard.

Applicants : Chi-Meng LIAO & Chin-Pei WANG  
For : ROLLING ELEMENT RETAINER 22278 U.S. PTO  
Date Mailed : December 1, 2003 10/724935  
CEB:lp/14078 B  
Express Mail Label : EL 970877103 US



120103

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. S 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 955.00

## Complete if Known

Application Number	Not Yet Allotted
Filing Date	Simultaneously herewith
First Named Inventor	Chi-Meng LIAO, et al.
Examiner Name	Not Yet Designated
Art Unit	Not Yet Designated
Attorney Docket No.	14078 B

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 02-1435  
Deposit Account Name: Charles E. Baxley

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☐ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee the above-identified deposit account.

## FEE CALCULATION

### BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
01	770	2001	385	Utility filing fee	770
02	340	2002	170	Design filing fee	
03	530	2003	265	Plant filing fee	
04	770	2004	385	Reissue filing fee	
05	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$) 770.00

### EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
01	8	2001	385	Utility filing fee	770
02	340	2002	170	Design filing fee	
03	530	2003	265	Plant filing fee	
04	770	2004	385	Reissue filing fee	
05	160	2005	80	Provisional filing fee	

SUBTOTAL (2) (\$) 145.00

\*or number previously paid, if greater. For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity, Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920	1804	920	Requesting publication of SIR prior to Examiner action	
1805	1,840	1805	1,840	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	960	2253	475	Extension for reply within third month	
1254	1,460	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	60	1807	60	Processing fee under 37 CFR 1.17(e)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40.00
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 40.00

## MITTED BY

Name (Print/Type)	CHARLES E. BAXLEY, ESQUIRE	Registration No. (Attorney/Agent)	20,149	Telephone	212-791-7200
Signature	Charles E. Baxley	Date	14078 B		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the O to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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 **Fee History  
Query**

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**Revenue Accounting and Management**

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Name/Number: 10724935

Total Records Found: 4

Start Date: Any Date

End Date: Any Date

Accounting Date	Sequence Num.	Tran Type	Fee Code	Fee Amount	Mailroom Date	Payment Method
12/04/2003	00000089	<u>1</u>	<u>8021</u>	\$40.00	12/01/2003	CK
12/04/2003	00000090	<u>1</u>	<u>1001</u>	\$770.00	12/01/2003	CK
12/04/2003	00000091	<u>1</u>	<u>1203</u>	\$145.00	12/01/2003	DA 021435
12/04/2003	00000092	<u>1</u>	<u>1203</u>	\$145.00	12/01/2003	CK

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**RAM** Fee History  
Query  
Revenue Accounting and Management

---

Name/Number: 10724935

Total Records Found: 4

Start Date: Any Date

End Date: Any Date

Accounting Date	Sequence Num.	Tran Type	Fee Code	Fee Amount	Mailroom Date	Payment Method
12/04/2003	00000089	<u>1</u>	<u>8021</u>	\$40.00	12/01/2003	CK
12/04/2003	00000090	<u>1</u>	<u>1001</u>	\$770.00	12/01/2003	CK
12/04/2003	00000091	<u>1</u>	<u>1203</u>	\$145.00	12/01/2003	DA 021435
12/04/2003	00000092	<u>1</u>	<u>1203</u>	\$145.00	12/01/2003	CK